

UC Shared Print RoadMap for 2014-2018

Recommended Activities to Pursue

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For: Strategic Action Group 3

To advance the goals and strategies described in the UC Shared Print 2013-2018 Strategic Plan,¹ the Shared Print Strategy Team, in consultation with SAG3, recommend the activities described in this RoadMap.

This RoadMap is intended as a high-level planning instrument to help guide future shared print activities and scope of work. It includes a short list of recommended activities to pursue (and not pursue) to advance UC's goals around print collaboration. It also includes a general timeline for exploration, planning and development of proposed activities, which may subsequently be approved, implemented and assessed. It also includes possible success indicators which could be used to monitor and report progress and to guide assessments.

The RoadMap is intended to communicate where UC Libraries are going with shared print activities over the next few years. Many internal and external stakeholders need to be informed and involved and will benefit from seeing this general scope of work and timeline.

The RoadMap was developed by the Shared Print Strategy Team in consultation with the UC Strategic Advisory Group 3: Collection Building & Management (SAG3) to ensure alignment with systemwide priorities and with the goals outlined in the UC Shared Print 2013-2018 Strategic Plan. While many possible projects were considered, the Strategy Team and SAG3 acknowledge that campuses have finite resources to plan and manage collaborative efforts for print collections; the RoadMap, therefore, emphasizes activities where UC Libraries can best focus resources for print collaboration.

¹ <http://www.cdlib.org/services/collections/sharedprint/docs/UCLSharedPrintStrategicPlan2013-2018final.pdf>

RECOMMENDED ACTIVITIES TO PURSUE

The following selected activities are recommended for further exploration, planning and development over the next several years.

Projects

- A. Coordinated print serials archiving to the RLFs and a de-duplication service.** Develop a service to coordinate print serials deposits to the Regional Library Facilities and a de-duplication service at the RLFs. This service will support systemwide analysis of overlap, coordination of multiple print archive title lists and a nominations process for bibliographers to select additional titles for archiving. The service at the RLFs will consolidate and de-duplicate campus print holdings, and include disclosure and long-term retention of a shared print copy. This would include infrastructure for collections analysis (using the tool developed by CRL and WEST), nominations and possibly a “needs and offers” tool to reveal and fill gaps in the resulting print archives.
- B. Retrospective shared print monographs program.** Identify options for a shared print monographs program for existing (retrospective) holdings. This activity will involve analysis, planning, and exploration. To make best use of campus resources, many elements may be incubated and explored with a subset of campuses and extramural partners. Research and experiments may be undertaken to better understand and define a business and operations model for shared print monographs. This activity could include planning for a collection analysis capability, retention commitments and possible participation in the HathiTrust print monograph archive. This activity could also include exploration of discovery, display and delivery options for shared print collections within UC and with extramural partners (e.g. experiments in scan-on-demand, direct delivery by mail and systematic digitization.) The Shared Print teams will identify specific projects for exploration and/or study and secure or propose support required to pursue them for SAG3 and the CoUL’s consideration.
- C. Coordinated digitization and print retention of State Documents.** Identify options for coordinated digitization and print retention of documents published by the State of California in consultation with GILS. This activity will involve analysis, planning, and exploration.

Policies

- D. Disclose UC Shared Print collections in union catalogs (OCLC WorldCat, CRL PAPER)** using the OCLC Shared Print Metadata Guidelines.
- E. Revise the “persistence policy”** to support extramural partnerships. Currently handled by a separate group. The shared print teams would assist campuses with implementing the new policy once endorsed.
- F. Assess existing shared print projects and policies and make adjustments.** Includes assessment of the implementation of Shared Print in Place policies and recommended changes. Includes an assessment of one project or set of projects per year (e.g. JSTOR, Shared Print for Licensed Content, Shared Monograph Initiatives).

TIMELINE FOR PLANNING AND DEVELOPMENT (2014-2018)

The following projected timeline is recommended. Each activity will require a design and planning phase to explore models, resource requirements and scope of partners. Proposals would be drawn up during a planning phase for each activity and submitted to SAG3 for consideration. Certain internal and external dependencies are also factored in to the timeline.

Timeline GANTT Chart (Purple=Planning, Design and Approval, Green=Implement, Orange=Assess)

Activity	2014		2015		2016		2017		2018	
	Q1/2	Q3/4	Q1/2	Q3/4	Q1/2	Q3/4	Q1/2	Q3/4	Q1/2	Q3/4
A. Serials archiving campaigns to RLFs and de-duplication service										
1. Service development										
2. Collection analysis capability journals (CRL/WEST tool for UC)										
3. Develop selection and gap filling processes/tools		Title nominations						Needs/Offers		
B. Retrospective shared print monographs program										
1. Collection analysis capability monographs and retention commitments										
2. Potential project. HathiTrust monographs coordination										
3. Service experiments and research. Discovery, Display, Delivery options										
C. Coordinated digitization and print retention State Documents										
D. Disclose SP collections: OCLC WorldCat and CRL PAPER										
E. Revise persistence policy										
F. Assess existing projects and policies. Implement changes.										

POSSIBLE SUCCESS INDICATORS (2014-2018)

These success indicators are offered as possibilities and could be the basis for assessments and reporting progress to SAG3.

Activity	Possible Success Indicators
Serials archiving campaigns to RLFs and a de-duplication service	<ul style="list-style-type: none"> ● By 2015, processes and business model are planned and endorsed ● 20,000 volumes archived and 10,000 volumes deaccessioned annually by RLFs ● Archives are disclosed in OCLC and PAPER
Retrospective shared print monographs program	<ul style="list-style-type: none"> ● By mid 2015, UC engages a collection analysis capability/partner ● By mid 2016, HathiTrust print archive planning is complete and UC's participation is vetted/approved ● A substantial number of retention commitments are made (e.g. 500,000) and disclosed in OCLC ● User studies and experiments are planned with extramural partners (e.g. CSUs, other Hathi members) ● At least two user studies are conducted ● At least 2 service experiments are conducted (e.g. scan-on-demand, direct delivery by mail)
Coordinated digitization and print retention of State Documents	<ul style="list-style-type: none"> ● By mid-2017, business and operations model are planned and endorsed ● 10,000 documents are digitized and related print archive is developed and disclosed
Disclose SP collections in OCLC WorldCat and CRL PAPER	<ul style="list-style-type: none"> ● By 2015, UC Shared Print Disclosure policy is prepared and vetted ● By 2017, existing shared print collections are upgraded to the new policy
Revise persistence policy	<ul style="list-style-type: none"> ● By mid 2015, revised policy is prepared and vetted ● UC libraries are better able to contribute holdings to extramural retention partners
Assess existing projects/policies	<ul style="list-style-type: none"> ● 1 project is assessed annually (e.g. JSTOR, SP in Place, SP Licensed Content) ● Recommendations are addressed within 1 year after assessment

APPENDIX: Activities *Not* to Pursue (2014-2018)

The following projects, policies and infrastructure are not recommended for the near term exploration and development by the Shared Print teams. In some cases, these were difficult recommendations to make, but it is important for the Shared Print teams to focus on a few, larger-scale projects that may provide substantial benefits to UC libraries. The Shared Print Strategy Team and SAG3 acknowledge that some of these activities should and will be taken up by other groups.

Projects *not recommended* or *not recommended for active coordination* by the Shared Print teams in 2014-2018:

- **Coordinated digitization and print retention of Federal Documents.** The shared print teams defer to the FEDARC group and will rely on that group to develop plans, business models and infrastructure for this activity.
- **Active cultivation of prospective print monograph proposals.** A toolkit for these types of proposals is available on the shared print website and bibliographer groups are encouraged to use it to develop proposals. *The Shared Print Strategy Team can receive and vet proposals from bibliographer groups but does not plan to actively request and cultivate more proposals during 2014-2018.*
- Develop higher level, **programmatic collection development agreements** across campuses for print collection development.
- Develop a systemwide **shared print monograph approval plan.**
- Coordinate and reduce duplication in **prospective print journal subscriptions.**
- **Coordinate local government documents.** The Strategy Team acknowledges the important service these collections and ongoing collecting activity represent to UC users and local government. The team expects those activities to collect and management print will continue at a local level and be supported by the Web at Risk service to the extent that some documents are available digitally. Some of the infrastructure developed for the other activities (Federal and State documents) may be used and modified later to support coordination of local government documents.
- Continue to work within UCLAS structure to **cultivate broad, systemwide bibliographer roles** to manage particular shared print initiatives.
- **De-accession campus duplicates** of existing WEST, JSTOR, IEEE, and Shared Print for Licensed Content archives. While there is substantial potential to reclaim space here, this does not require coordination by the shared print teams. Campuses can or

will be able to review disclosures of WEST, JSTOR and IEEE archives in CRL PAPER, the UC JSTOR Shared Print website or the UC Shared Print website and decide locally whether to deselect or discontinue subscriptions.

- Implement **policies and tools to reduce unintentional print monograph duplication.**
- Explore options for and pros/cons of **RLFs assuming certain Acquisitions and Cataloging functions** (e.g. asset reporting, vendor relations, setting holdings in OCLC, separate ILS instance). Might be addressed later or more broadly as part of the SAG3 shared ILS exploration.
- **Collect evidence of space reclamation progress** as a result of print archiving commitments. Explore options for gathering deselection statistics annually, including fuller campus adoption of the Schedule B in systemwide statistics.