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WESTERN REGIONAL STORAGE TRUST (WEST)

January 2011

OUTLINE

- I. WEST Planning and Governance
- II. Overview of WEST Program
- III. WEST Collections Model
- IV. WEST Business Model
- V. Next Steps



I. WEST Planning and Governance

Planning project supported by grant from The Andrew W. Mellon Foundation (October 2009 – September 2010)

Goal: Develop a shared retrospective journals repository program among research libraries in the Western Region of the United States

Planning Project Objectives:

- ▶ Design operating plan and business model
- ▶ Develop selection criteria and validation standards



WEST Planning Libraries & Consortia

2009-2010

- ▶ Arizona State University
 - ▶ California Digital Library
 - ▶ California Institute of Technology
 - ▶ California Polytechnic State University
 - ▶ Claremont Colleges
 - ▶ Getty Research Library
 - ▶ Huntington Library
 - ▶ Occidental College
 - ▶ Oregon State University
 - ▶ Stanford University
 - ▶ University of Arizona
 - ▶ University of California, Berkeley
 - ▶ University of California, Los Angeles
 - ▶ University of California, San Diego
 - ▶ University of Oregon
 - ▶ University of Washington
 - ▶ Washington State University
 - ▶ Greater Western Library Alliance (GWLA)
 - ▶ Orbis Cascade Alliance
 - ▶ Statewide California Electronic Licensing Consortium (SCELC)
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Planning Groups

More than 40 individuals from 20 institutions participated in two plenary meetings and/or several planning groups during 2010.

- ▶ Advisory Committee
- ▶ Steering Committee
- ▶ Business Models Working Group
- ▶ Selection and Validation Working Group
- ▶ Holdings Disclosure Working Group
- ▶ Access and Delivery Working Group



WEST Implementation Project 2011-2015

- ▶ Goals
 - ▶ Establish processes and governance
 - ▶ Archive more than 275,000 volumes over five years, opportunity to deselect potentially millions of corresponding volumes in member libraries
- ▶ Phase I
 - ▶ Three years , 2011-2013
 - ▶ Funded by Mellon Foundation
- ▶ Phase II
 - ▶ Two years, 2014-2015
 - ▶ Will apply again for Mellon funding
- ▶ Additional funding from members



WEST Members

- ▶ ~ 17 universities/colleges and 3 consortia during planning phase
- ▶ ~ 38 additional universities/colleges stated intent to join, included in Mellon implementation proposal
- ▶ ~ 30 small members of Orbis Cascade Alliance and ~5 members of SCELAC joining through consortium membership
- ▶ Total ~ 90 members = about 55 Medium, Large, Very Large and about 35 Small or Very Small



WEST Governance

WEST Members

**Administrative
Host**

***California
Digital Library***

**Executive
Committee**

Project Staff

***Lizanne Payne
Project Manager***

***Emily Stambaugh
Assistant Project
Manager***

**Operations and
Collections
Council**



II. Overview of WEST Program

Selection

Collection
Analysis

Location

Retention

Disclosure

Access



WEST Operating Plan (pt 1)

Selection

- Journal title selection based on risk analysis and duplication levels among members

Collection Analysis

- Use Print Archives Registry being developed by Center for Research Libraries

Location

- Storage facilities
 - Campus libraries with agreed environmental and security standards
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WEST Operating Plan (pt 2)

Retention

- Archive holders will maintain archives through 2035 (with five-year reviews)

Disclosure

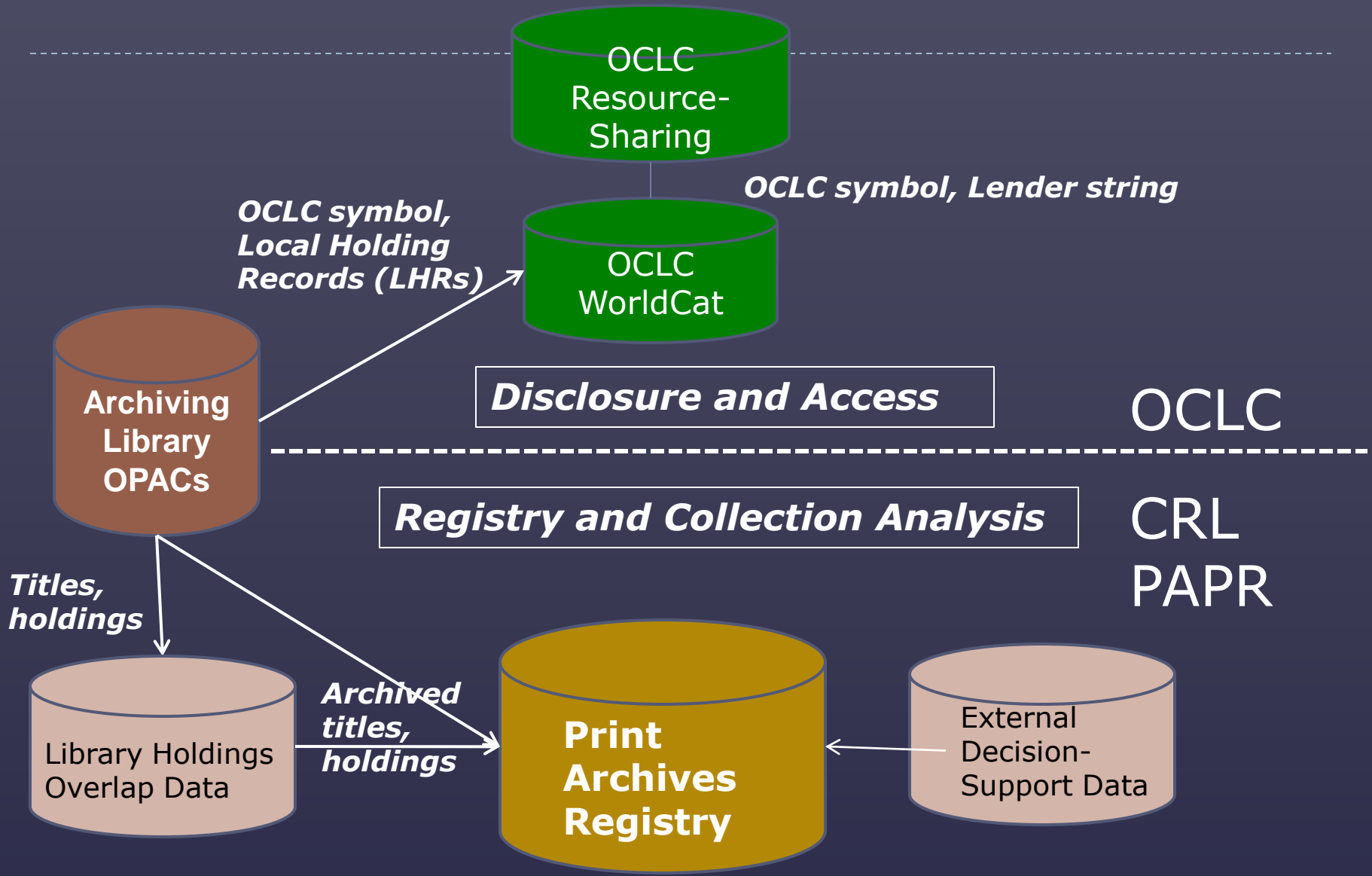
- Use current OCLC features for disclosure via WorldCat

Access

- Handle access & delivery via existing lending policies
-



Holdings Disclosure and Collection Analysis



III. WEST Collections Model

Goals

- ▶ Preserve the scholarly print record
- ▶ Provide access, when needed, to archived materials
- ▶ Create opportunities to reallocate physical space



Selection Criteria

- ▶ Managed process for archive creation and deselection based on risk management principles
- ▶ Journal titles with moderate to high duplication in the region are initial candidates
- ▶ Other criteria: format availability, scholarly/consumer/trade, aspects of quality, depth of holdings.
- ▶ Government documents are excluded.



WEST Title Categories

Title Category = expression of risk

	<i>Title Category</i>	<i>Risk Level</i>
1	Print and Electronic with Digital Preservation	Lowest
2	Print and Electronic no Digital Preservation (publisher e-journals)	Low
3	Print only with some full-text access through aggregator databases	Moderate
4	Print only with electronic abstracting and indexing	High
5	Print only, no electronic access points	High
6	JSTOR	Varies



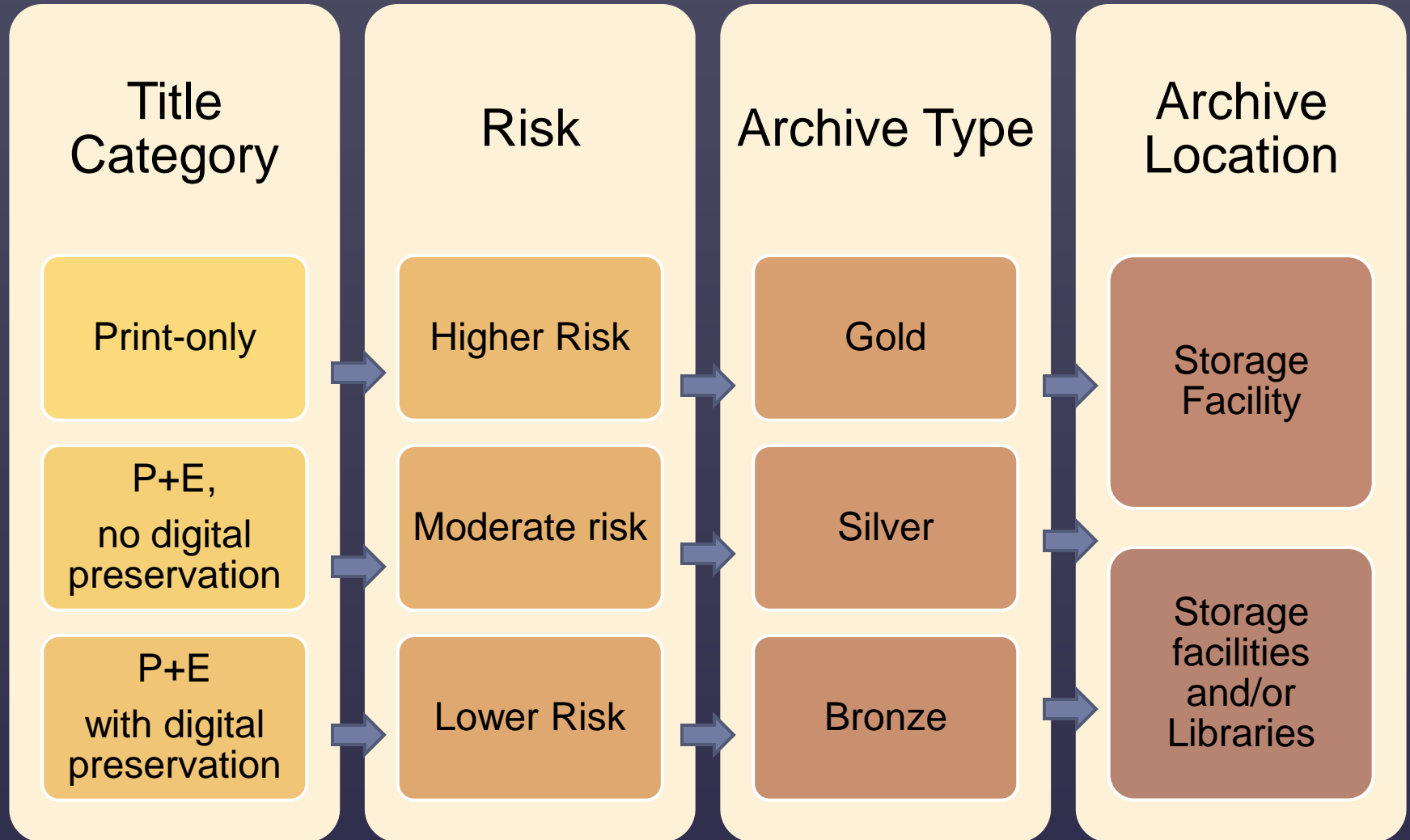
WEST Archive Types

Archive Type = level of archiving effort

Characteristic	Bronze	Silver	Gold
Risk profile of title	Low risk	Moderate risk	Higher risk
Physical holdings verified, summary holdings updated	No	Yes	Yes
Validation standards	None	Volume-level Completeness only	Issue-level Completeness and Condition
Fill gaps	No (at holder's discretion)	Yes (active)	Yes (active)



Title Categories and Archive Types



Annual Collection Decision-Making (October – March)

- ▶ Operations and Collections Council oversees annual collection voting process to select sets of titles for WEST archiving

- ▶ Candidate titles are identified by:
 1. Semi-automated collection analysis. Archive Holders/Builders are proposed based on the depth of existing holdings
 2. Title nomination by libraries



Annual Archive Creation Cycles (April – December)

Archive Holder/Builder activities:

- ▶ Call for holdings (Silver, Gold only)
- ▶ Validation of holdings (Silver, Gold only)
- ▶ Disclosure (Bronze, Silver, Gold)
- ▶ On-going gap filling in subsequent years (all)



IV. WEST Business Model

- ▶ WEST program costs are:
 - ▶ Financial support paid to Archive Builders for validation and ingest of a planned number of volumes each year
 - ▶ Collection analysis system via CRL
 - ▶ Project management and administration
- ▶ WEST members share program costs net after grant funding
- ▶ WEST members absorb some costs:
 - ▶ Space and related costs for housing archives
 - ▶ Access and delivery of requested materials to other WEST members (under existing policies)
 - ▶ Local deselection of volumes
 - ▶ Transport of contributed holdings to a WEST archive



WEST Cost-Sharing Formula

- Member fees based primarily on library collection size
- Archive Holders pay a reduced member fee
- Small and Very Small libraries may join through a consortium
- Member share is the % of total member participation, individual fees depend on number and type of members



V. Next Steps

- ▶ Execute WEST Member Agreements
- ▶ Develop collection analysis system with CRL
- ▶ Conduct WorldCat disclosure pilot project
- ▶ Conduct WEST Year 1 Archiving Cycle



WEST Implementation Timetable (Year 1)

- ▶ January – March 2011:
 - ▶ Admin Host secures WEST member agreements
 - ▶ CDL and CRL develop requirements, timetable, and specs for collection analysis system
 - ▶ OCLC and partners develop plans for print archives disclosure in WorldCat, pilot project through June

- ▶ April – December 2011:
 - ▶ Year 1 Archive Builders issue call for holdings, validate existing local holdings and contributed holdings
 - ▶ CDL/CRL develop Print Archives Registry and collection analysis system
 - ▶ WEST libraries load/disclose Bronze holdings



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